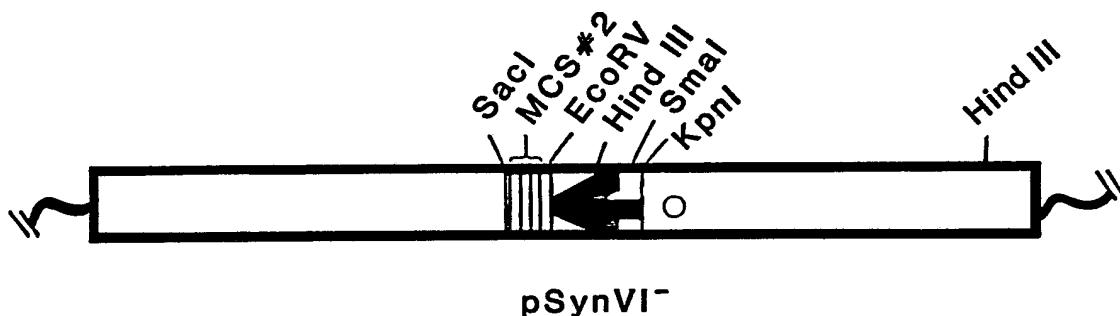




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**(54) Title:** IMPROVED BACULOVIRUS EXPRESSION VECTORS

**(57) Abstract**

A method and composition for improving the level of expression of a gene or collection of genes by providing a novel baculovirus promoter to which a heterologous gene is attached. The novel promoter may contain a modification of a natural viral promoter or may be totally synthetic. The novel baculovirus promoter may also comprise a combination promoter such as a promoter with a double start site or a combination of two different promoters. Foreign gene placement in a novel location or genomic orientation is also included.

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## IMPROVED BACULOVIRUS EXPRESSION VECTORS

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### Technical Field

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The present invention relates to methods and compositions for improving the expression of a gene. More particularly, the present invention relates to classes of novel promoters that improve the expression of a heterologous gene in a baculovirus system.

### Background of the Invention

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As used throughout this specification, the following definitions apply for purposes of the present invention:

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The term "expression" may be characterized in the following manner. A cell is capable of synthesizing many proteins. At any given time, many proteins which the cell is capable of synthesizing are not being synthesized. When a particular polypeptide, coded for by a given gene, is being synthesized by the cell, that gene is said to be expressed. In order to be expressed, the DNA sequence coding for that particular polypeptide must be properly located with respect

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to the control region of the gene. The function of the control region is to permit the expression of the gene under its control.

5           The term "vector" refers to an extra-chromosomal molecule of duplex DNA comprising an intact replicon that can be replicated in a cell. Generally, vectors are derived from viruses or plasmids of bacteria and yeasts. A baculovirus vector comprises a baculovirus replicon.

10           The term "gene" refers to those DNA sequences which transmit the information for and direct the synthesis of a single protein chain.

15           The term "infection" refers to the invasion by agents (e.g., viruses, bacteria, etc.) of cells where conditions are favorable for their replication and growth.

20           The term "transfection" refers to a technique for infecting cells with purified nucleic acids of viruses.

25           The term "heterologous gene" in reference to the baculovirus vectors hereof, refers to DNA that encodes polypeptides ordinarily not produced by the virus from which the vector is derived, but which is introduced into the cell as recombinant DNA or within viruses carrying recombinant DNA genomes. The terms "passenger gene" or "passenger DNA" as used herein are equivalent to the term "heterologous gene." "Exogenous," as used herein, has the same meaning as  
30 heterologous.

35           The term "transplacement plasmid" means a bacterial vector which is used as an intermediate in the construction of a virus vector. A transplacement plasmid facilitates the transfer of exogenous genetic information, such as the combination of a novel promoter and a heterologous structural gene under the

regulatory control of that promoter, to a specific site within the viral genome by homologous recombination. That homologous recombination occurs via the DNA sequences flanking the chimeric gene.

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The science of genetic engineering has advanced to a stage wherein certain biologically useful products can be produced in large quantities. For example, two commercially successful drugs, human growth hormone and tissue plasminogen activator (t-PA), are now being produced in large quantities and are being used to treat a variety of pathological conditions. However, scientists are constantly trying to discover new and more efficient systems for producing the proteins and other products in various biological systems.

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The technology of transferring genes from one species and expressing them in another is made possible because the DNA of all living organisms is chemically similar in that it is composed of long chains containing the same four nucleotides. Nucleotide sequences are arranged in codons (triplets) which code for specific amino acids with the coding relationship between the amino acid and nucleotide sequence being essentially the same for all species of organisms. The DNA is organized into genes which are comprised of control regions which mediate initiation of expression of the gene and coding regions. These control regions are commonly referred to as "promoters." An enzyme, called RNA polymerase, binds to the promoter region and is either activated or in some way is signalled so that it travels along the coding region and transcribes the encoded information from the DNA into messenger ribonucleic acid (mRNA). The mRNA contains recognition signals: signals for ribosome binding, signals for translational start and stop, and for polyadenylation. Cellular ribosomes then translate the nucleotide codon information of the mRNA into protein with an amino acid sequence specified by the nucleotide codon sequence.

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5       The general use of restriction endonucleases and the  
ability to manipulate DNA sequences has been greatly improved  
by the availability of chemically synthesized double stranded  
oligonucleotides containing desired nucleotide sequences  
including useful restriction site sequences. Virtually, any  
naturally occurring, cloned, genetically altered or chemically  
synthesized segment of DNA can be coupled to any other segment  
by attaching an oligonucleotide containing the appropriate  
10       sequences or recognition sites to the ends of the DNA molecule.  
Subjecting this product to the hydrolytic action of the  
appropriate restriction endonuclease produces the requisite  
complementary ends for coupling the DNA molecules. While there  
are many possible variations in gene transfer schemes, it is  
15       important to note that the techniques are available for  
inserting DNA sequences in the proper location and orientation  
with respect to a promoter region to allow expression of those  
sequences.

20       Potentially, any DNA sequence can be inserted into a  
vector molecule to construct an artificial recombinant molecule  
or composite, sometimes called a chimera or hybrid DNA. For  
most purposes, the vector utilized is a duplex extra-  
chromosomal DNA molecule comprising an intact replicon such  
25       that the recombinant DNA molecule can be replicated when placed  
into bacteria or yeast by transformation. Vectors commonly in  
use are derived from viruses or plasmids associated with  
bacteria and yeast.

30       Because of the nature of the genetic code, the inserted  
gene or portions thereof will direct the production of the  
amino acid sequence for which it codes if the gene or gene  
portion is attached to a control region (promoter) which is  
capable of regulating expression in the cell in which the  
35       vector replicates. The general techniques for constructing  
expression vectors with cloned genes located in the proper

relationship to promoter regions are described in the literature (e.g., See T. Maniatis, et al. (1982) Molecular Cloning A Laboratory Manual, Cold Spring Harbor Laboratory).

5           A number of vector systems utilizing the above-described general scheme and techniques have been developed for use in the commercial or experimental synthesis of proteins by genetically modified organisms. Many of these vector systems utilize prokaryotic bacterial hosts for vector replication and  
10           heterologous gene expression. Additionally, systems have been utilized which employ eukaryotic cells for vector replication and heterologous gene expression. Such systems are employed for hepatitis B virus surface antigen synthesis and for human tissue plasminogen activator synthesis.

15           Eukaryotic hosts are preferred for the production of some eukaryotic proteins which require modification after synthesis (i.e., glycosylation) to become biologically active. Prokaryotic cells are generally incapable of such  
20           modifications.

          The use of virus vectors in eukaryotic hosts has been the subject of a considerable amount of recent investigation. Viral vector systems may suffer from significant disadvantages  
25           and limitations which diminish their utility. For example, some viral vectors are not able to achieve high enough levels of gene expression for economic protein production in costly eukaryotic cell culture systems. Some eukaryotic viral vectors are either pathogenic or oncogenic in mammalian systems,  
30           creating the potential for serious health and safety problems associated with accidental infection. Some virus vectors have severe limitations on the size of the heterologous gene that can be stably inserted into the virus particles.

35           As the genetic engineering technology becomes more sophisticated, there will be an increased interest in inserting

more than one heterologous gene, i.e., genes coding for more than one protein, into a host cell to achieve coordinated expression and possibly to obtain coordinated activity of the various gene products.

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An ideal viral vector should be capable of stably carrying a large segment of heterologous DNA, efficiently infecting cells and converting virtually all the protein biosynthesis of the infected cell to the high level expression of the foreign gene. A virus that appears to be well suited as a vector for the propagation and high level expression of many heterologous genes in a higher eukaryotic environment is the baculovirus Autographa californica nuclear polyhedrosis virus (AcMNPV). (See Miller, L. K. (1981) "Virus Vector for Genetic Engineering in Invertebrates," In Genetic Engineering in the Plant Sciences, N. Panopolous (ed.), Praeger Publ., N.Y., pp. 203-224; U.S. Patent No. 4,745,051.)

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The baculovirus group includes the subgroups of nuclear polyhedrosis viruses (NPV) and granulosis viruses (GV); baculoviruses infect only arthropod hosts. The virus particles of NPV and GV are occluded in proteinaceous crystals. In occluded forms of baculoviruses, the virions (enveloped nucleocapsids) are embedded in a crystalline protein matrix. This structure, referred to as an inclusion or occlusion body, is the form found extraorganismally in nature and is responsible for spreading the infection between organisms. The subgroup NPV produces many virions embedded in a single, large (up to 5 micrometers) polyhedral crystal, whereas the subgroup GV produces a single virion embedded in a small crystal. The crystalline protein matrix in either form is primarily composed of a single 25 to 33 kDa polypeptide which is known as polyhedrin or granulin in NPV or GV, respectively.

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More general information on the subject of baculovirus structure and the process of infection is available in the



following reviews: Carstens (1980) "Baculoviruses - Friend of Man, Foe of Insects?," Trends and Biochemical Science, 52:107-10; Harrap and Payne (1979) "The Structural Properties and Identification of Insect Viruses" in Advances in Virus Research, Vol. 25, M. A. Lawer et al. (eds.), Academic Press, New York, pp. 273-355; and Miller, L. K. (1981) supra.

Baculovirus helper-independent viral vectors are particularly useful for the high-level production of biologically active eukaryotic proteins. Expression levels for some foreign genes have been reported to be 10% to 25% of the total protein of the recombinant infected cell. Appropriate post-translational modifications, including signal peptide cleavage, glycosylation, phosphorylation, oligomerization, complex formation, isolation and proteolysis, have been reported for a variety of different heterologous proteins produced using this expression system.

The baculovirus expression vectors described to date use very late promoters, such as the polyhedrin or polypeptide 10 (p10) promoters to drive foreign gene expression. (Reviewed by Luckow and Summers (1988) "Trends in the Development of Baculovirus Expression Vectors," Bio/Technology 6:47-55; Miller, L. K. (1988) "Baculo-viruses as Gene Expression Vectors," Ann. Review of Microbiology, 42:177-199.) These promoters are regulated during the course of virus infection and are activated very late in the infectious process usually beginning 18 to 24 hours post-infection. The polyhedrin and p10 genes are not essential for replication in cell culture, so the gene can be replaced with the heterologous gene of interest without interfering with the production of the budded form of the virus. The replacement of the polyhedrin gene, however, does interfere with the formation of the occluded form of the virus. The absence of the occluded virus in recombinant plaques provides a useful although somewhat tedious phenotypic selection for the recombinant viruses. It also places

limitations on the ability to use the recombinant viruses for less expensive mass protein production in insect larvae.

5       The economic value and general utility of a baculovirus vector is strongly dependent on the nature of the promoter used to drive heterologous gene expression. The polyhedrin promoter is currently the promoter of choice for the production of high levels of protein. However, even higher levels of protein production are often necessary for economic feasibility. In addition to the need for high productivity, vectors are needed which can express more than one heterologous gene. The disadvantage to such vectors is that they are often genomically unstable if promoter sequences are duplicated within the vector. To minimize such instability, a different promoter must be employed for each heterologous gene to be expressed. These promoters must be different (nonhomologous) from naturally occurring viral promoters within the vector to more fully avoid genomic instability problems. Vectors should also have the ability to include promoters that express genes at an earlier stage of protein production to improve protein quality and to ensure that the protein has the necessary post-translational modifications to confer biological activity or immunological authenticity. Such post-translational modifications appear to decline during the very late phase of infection when the very late promoters of AcMNPV vectors, such as polyhedrin and p10, are activated.

30       What are needed are modified or synthetic promoter regions which will cause significantly increased expression of the heterologous gene in the baculovirus system or which will allow the production of high quality protein. The new promoters should be flexible enough so that either a single heterologous gene or a series of heterologous genes can be inserted into the vector system so that various proteins can be produced at the same or at different times. In addition, the polyhedrin gene

should be present if the virus is to be administered orally to the appropriate insect host.

#### Summary of the Invention

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The present invention provides an improved means of employing baculovirus gene expression vectors for expression of heterologous genes by placing the genes under the control of novel or modified promoters; preferably a novel promoter which promotes high level expression of a gene and allows the proper post-translational modifications of the gene product in insect cells. More specifically, in a preferred embodiment, the present invention comprises providing a modified baculovirus promoter to which a heterologous gene is attached. The promoter is inserted into a virus via a transplacement plasmid or by direct insertion to produce a recombinant virus vector which can be used to infect suitable host cells. The infected host cells are then used to produce the heterologous gene product.

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In accordance with the present invention, a method and composition are provided for improving the level of expression of a heterologous gene or a collection of genes. The present invention includes a novel promoter which contains a modification of a natural baculovirus promoter or a synthetic modified promoter functional in a baculovirus expression system. The new promoter may be a combination promoter such as a promoter with a double start site (i.e., two ATAAG's) or a combination of two different promoters such as an early/very late promoter or a late/very late promoter. It is contemplated that the present invention also includes foreign gene placement in a novel location or genomic orientation. All the aforementioned promoters are termed "modified baculovirus promoters" herein.

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A modified baculovirus promoter of the present invention has one or more features selected from the group consisting of an ATAAG sequence flanked by a very A+T rich region, an upstream activator sequence, similar to those of linker scan promoters LSXVI, LSXIV and LSXVII, of about 10bp of GC-rich sequence, placed from about 10 to about 30bp upstream of the transcription start site, a polyadenylation site ( $A_2UA_3$  site) in the orientation opposite to desired transcription to block antisense transcripts which may originate from fortuitous TAAG sequences in the foreign gene, and selected components of one or more of three very strong late promoters (vp39, p10, and p6.9), and/or of the very strongly expressed very late genes (polyhedrin and p10). The selection of those components is based on their presence or conservation in untranslated leader sequences of abundantly expressed late and very late genes. An upstream activator sequence of a modified promoter is a sequence which acts to increase the level of transcription at a transcription start site located downstream therefrom. For use with a late or very late promoter in a baculovirus expression vector, the upstream activating sequence is a GC-rich sequence, with a nucleotide composition of at least about 60% G + C.

The modified promoter of the present invention shares only limited sequence homology or continuity with any other AcMNPV promoter. A major advantage of the novel promoter is that it can be stably incorporated at any region in the AcMNPV genome without concern for recombination with other regions of the AcMNPV genome. The modified promoter may also be designed to be stronger than the polyhedrin promoter.

The modified promoter of the present invention has been incorporated into several different transplacement plasmids by methods well known to those of ordinary skill in the art. For example, plasmid pSynVI contains the synthetic promoter in place of the EcoRV to KpnI fragment within AcMNPV sequences

between 3.1 to 6.16 map units. According to one aspect of the present invention, the orientation of the promoter is such that the direction of expression will be opposite that of normal polyhedrin gene expression, thus taking advantage of the potential increase in gene expression when foreign genes are placed in this orientation. Foreign genes can be placed within the multicloning site of this plasmid and recombinant viruses can be identified on the basis of their occlusion negative phenotype.

A second transplacement plasmid, pSynVI+wtp, contains the synthetic promoter (including a multicloning site for passenger gene insertion) as well as an intact polyhedrin gene under the control of the wild-type polyhedrin promoter. When co-transfected with DNA from a polyhedrin-deficient mutant virus, this transplacement plasmid allows construction (or formation) of a recombinant virus that directs the production of both polyhedrin and the foreign gene product. The benefit is two fold: the recombinant virus has an easily visible and rapidly selectable (occlusion positive) phenotype, and the recombinant virus can infect insects orally. Thus be easily employed for mass production in insect larvae.

The transplacement plasmids can be further manipulated in any number of additional ways which may increase expression in different situations. For example, it may be useful to include a polyadenylation signal within the multicloning site and downstream from the foreign gene insert so that efficient polyadenylation occurs if an effective polyadenylation site is not included in the foreign gene insert. Portions of the multicloning site which are not used in transplacement plasmid construction may be deleted to improve expression since an A+T rich leader and an AACAAAT sequence near the ATG seem to be preferred by highly expressed baculovirus genes (i.e., vp39, p10 and p6.9).

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The ability to construct a modified promoter from linker scan modifications or from component parts of other promoters is a novel approach to promoter design. Most of the components of the novel promoter have been placed in one logical order. It is likely that the order of the components of the untranslated leader has flexibility.

Thus in accordance with the present invention, a modified promoter is provided that will allow multiple heterologous genes to be expressed with one vector.

An object of the present invention is to provide baculovirus vectors with modified promoters that will allow more than one heterologous genes to be expressed within one vector.

It is yet another object of the present invention to provide a modified promoter that is sufficiently different in sequence from other promoters in the virus so as to substantially eliminate the possibility of homologous recombination and subsequent deletion or inversion of a portion of the viral genome.

It is yet another object of the present invention to provide a recombinant baculovirus expression vector that can be fed orally to the appropriate insect host, thereby allowing the insect host-viral vector system to produce the desired heterologous protein.

It is yet another object of the present invention to provide an expression vector system in the baculovirus system that will allow increased expression of heterologous proteins when compared to the polyhedrin system.

These and other object features and advantages of the present invention will become apparent after a review of the

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following detailed descriptions of the disclosed embodiments and the appended claims.

Any baculovirus capable of replication in cultured host cells is useful for conversion into a vector of this invention. Preferably the baculovirus used is a nuclear polyhedrous virus, and more preferably is Autographica californica.

The vectors of this invention may be prepared by genetic engineering technologies known to the art, preferably insertion of chimeric genes comprising the modified promoters of this invention in combination with heterologous genes placed under regulatory control of said promoters into the genome of a baculovirus by homologous recombination in an area of said genome able to tolerate said insertion without interference with the replication functions of said baculovirus, all as will be readily apparent to those skilled in the art.

The host cells useful for expression of the heterologous genes under control of the modified promoters of this invention are insect cells in which the baculovirus vectors of this invention are capable of replication and expression, all as known to the art, and include cultured insect cells in vitro and insect larvae.

The conserved sequences of the late and very late promoters of this invention may be readily identified by those skilled in the art and are exemplified, e.g., in Table 1.

Any upstream activating sequence known to those skilled in the art may be used to enhance expression of the chimeric genes of this invention. A preferred upstream activating sequence of this invention is a G + C-rich sequence of about 10 bp, more preferably a HindIII site linker as exemplified by the sequence CCAAGCTTGG.

Any transplacement plasmid known to the art which has sequences flanking the chimeric gene(s) of the present invention which are homologous to the baculovirus which is to serve as the expression vector can be used in the practice of the present invention. It is understood that those homologous flanking sequences must be sufficiently long to mediate the homologous recombination of the chimeric gene from the transplacement plasmid to the baculovirus vector. The transplacement plasmids exemplified by the present invention are pEV55 and derivatives thereof.

#### Brief Description of the Figures

Fig. 1 is a schematic representation of the plasmid designated phcLSXIV.

Fig. 2 is a schematic representation of the plasmid designated pSynVI-.

Fig. 3 is a schematic representation of the plasmid designated pSynVI+wtp.

Fig. 4 is a schematic representation of the plasmid designated pSynwtVI-.

Fig. 5 is a schematic representation of the plasmid designated pSPLSXIVVI+CAT.

Fig. 6 is a schematic representation of the plasmid designated pLSXIVVI+CAT.

Fig. 7 is a schematic representation of the plasmid phcwt.

Fig. 8 is a schematic representation of the plasmid pEVmod.



Fig. 9 is a schematic representation of the plasmid pEVmodXIV.

Fig. 10 is a schematic representation of the plasmid pSynVI-CAT.

Fig. 11 is a schematic representation of the plasmid pSynVI+wtpCAT1.

Fig. 12 is a schematic representation of the plasmid pSynwtVI-CAT1.

Fig. 13 is a schematic representation of the plasmid pLSXIV3VI+.

Fig. 14 is a schematic representation of the plasmid pLSXIV2.

Fig. 15 is a schematic representation of the plasmid phc39.

Fig. 16 is a schematic representation of the plasmid pEVvp39/LSXIV CAT.

Fig. 17 is the legend for interpreting the plasmid schematics.

#### Detailed Description of the Disclosed Embodiments

In accordance with the present invention, a method and composition are provided for improving the expression of a gene or collection of genes in a baculovirus expression vector. More particularly, the present invention provides a plasmid containing a novel promoter that is used to drive foreign gene expression and improve the quality of the proteins produced

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during infection. The novel promoter may optionally contain a synthetic promoter, modifications of a natural promoter, and/or combinations of natural, modified or synthetic promoters. The novel promoter may also comprise a combination promoter, including but not limited to, a promoter with a double start site (i.e., two ATAAG's) or a combination of two baculovirus different promoters such as an early/very late promoter or a late/very late promoter. It is contemplated that the present invention also includes promoter-foreign gene placement in a novel location or a genomic orientation.

The insect baculovirus Autographa californica nuclear polyhedrosis virus (AcMNPV) is now widely employed as a gene expression vector (reviewed by Luckow and Summers, Trends in the Development of Baculovirus Expression Vectors, Bio/Technology 6:47-55, 1988; Miller, L. K., Baculoviruses as Gene Expression Vectors, Ann. Review of Microbiology, 42:177-199, 1988). The helper-independent viral vector systems generally involve the insertion of the foreign gene to be expressed into the AcMNPV genome under the control of a very late viral promoter, either the polyhedrin promoter or the p10 promoter. These two novel promoters are considered particularly useful because they mediate high level transcription of their respective genes, resulting in high steady state levels of mRNA during the last phase of the infection process, the occlusion phase. Occlusion, the embedding of viral particles in a paracrystalline protein matrix, is nonessential for virus propagation in cell culture although it is required for the efficient oral infection of host larvae. Thus, the replacement of occlusion phase-specific genes and the high level expression of heterologous genes instead of the occlusion genes have no observable impact on nonoccluded virus (budded virus) production which is responsible for infection in cell cultures.

The baculovirus expression system was originally developed with very little knowledge of baculovirus gene organization or the nature of baculoviral promoters (Miller, L. K., 1981 supra. One of the first transplacement plasmids developed for a polyhedrin promoter-based vector system was pAc373; it was widely used before it was reported that some of the sequences essential for normal levels of gene expression were absent in this vector (Matsuura et al. (1987) "Baculovirus expression vectors: The requirements for high level expression of proteins, including glycoproteins" J. Gen. Virol. 68:1233-1250). Transplacement plasmids, which include the nucleotides immediately upstream of the +1 (A of the ATG) position of the polyhedrin gene are now recommended for vector use (Luckow and Summers (1988) supra; Miller, L. K. (1988) supra). Nucleotides downstream of the +1 position (i.e., within the polyhedrin open reading frame) are not required for optimal transcriptional efficiency (Carbonell et al. (1988) "Synthesis of a gene encoding an insect-specific scorpion neurotoxin and attempts to express it using baculovirus vectors," Gene, 73:409-418).

Linker scan mutational analysis of the region immediately upstream of the polyhedrin coding sequence by the present inventor has revealed several unique features of this region. The primary determinant of promoter activity resides within the sequence TAAGTATT at the transcriptional start site located at -50 with respect to the ATG (+1, +2, +3) at the translation start site. The sequences flanking the transcriptional start site influence the levels of transcription and reporter gene expression in more subtle ways. Linker replacement of the sequences 10 to 30 bases upstream of the TAAGTATT increases the levels of transcription and gene expression as much as 50% while linker replacements between TAAGTATT and the +1 position (i.e., within the sequences specifying the untranslated leader region) down-regulate promoter activity by 2 to 10 fold. Reversing the genomic direction of the reporter gene and 93 nucleotides of the polyhedrin "promoter" (i.e., +1 to -92)

provided equivalent or slightly higher levels of reporter gene expression than that observed in the natural direction. None of the mutations altered the temporal regulation of gene expression; all gene expression from the modified polyhedrin promoters was restricted to the very late phase (Rankin et al. (1988) "Eight Base Pairs Encompassing the Transcriptional Start Point and the Major Determinants for Baculovirus Polyhedrin Gene Expression," Gene 70:39-49, incorporated herein by reference).

Although it has been assumed that the very late polyhedrin and p10 promoters probably represent the optimal promoters for foreign gene expression, the comparative ability of late promoters to drive foreign gene expression has never been tested directly. The two most abundantly expressed late virus structural genes encoding vp6.9 (core) and vp39 (capsid) have been located, sequenced, and transcriptionally mapped (Wilson et al. (1987) "Location, transcription, and sequence of a baculovirus gene encoding a small arginine-rich polypeptide," J. Virology, 61:661-666). These genes are transcribed abundantly at 12 hrs post-infection, and approximately 12 hrs before transcription from the strong polyhedrin promoter. The products of the vp6.9 and vp39 genes are required for both the budded and occluded forms of the virus. Thus, their expression would be expected to continue through very late times post-infection and provide a longer period for foreign gene expression than the polyhedrin or p10 promoters. Specific expression of foreign gene products at very late times has been considered to be preferable for expression of those foreign genes whose products might interfere with budded virus synthesis (Miller, L. K. (1981) supra).

However, many heterologous gene products do not adversely affect virus synthesis, and earlier high level expression would be advantageous from a production perspective. Expression during the late phase is also preferable to the very late phase

for efficient post-translational modification. Host nuclear mRNA transcripts decrease dramatically between 12 and 24 hrs post-infection and the post-translational modification, which is probably mediated primarily by host gene products, appears to become inefficient during the very late phase of expression. The inventor has found that the late capsid promoter, i.e., the vp39 promoter, is excellent for driving foreign gene expression during the late phase; it outperforms the polyhedrin promoter between 12 and 24 hours post-infection by approximately 5 to 8 fold.

The present invention includes the improvement of baculovirus gene expression vectors to provide higher levels of foreign gene expression than currently provided by very late promoters such as natural viral polyhedrin and p10 promoters. The present invention also includes two different approaches: (1) modification of the upstream sequences flanking the transcriptional start point of the polyhedrin promoter and (2) utilization of the late vp39 (capsid) promoter or late/very late promoter combinations to drive foreign gene expression. Reversing the genomic orientation of the promoter and foreign gene seems to have very little impact on the levels of gene expression and has allowed the design of plasmids for the simultaneous transplacement and the expression of two or more foreign genes directed by a single baculovirus expression vector.

In addition, according to the present invention, novel synthetic promoters have been designed which share minimal DNA sequence homology with other natural baculoviral promoters.

Such promoters are useful for the expression of more than one foreign gene using a single recombinant virus and eliminate the need for duplicating a natural viral promoter sequence within the vector, thereby minimizing the potential for genomic

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instability due to homologous recombination between the repeated promoter sequences.

As part of the present invention, transplacement plasmids are described which mediate the formation of baculovirus expression vectors capable of: (1) elevated levels of gene expression, (2) simultaneous expression of two passenger genes, (3) expression of passenger genes during the late as well as very late phases, and/or (4) simultaneous expression of both a passenger gene and the polyhedrin protein.

Transplacement plasmids facilitate the insertion of a foreign gene into the baculovirus genome by providing useful restriction sites for inserting the foreign gene(s) (i.e., a multicloning site at the appropriate site downstream of a promoter), and flanking sequences of viral DNA from the region of the viral genome into which the foreign gene(s) is to be integrated. The flanking viral sequences provide sites for cell-mediated homologous recombination between nonrecombinant viral DNA and recombinant transplacement plasmids to yield the recombinant viral vector.

The inventor has found that certain linker scan mutations upstream of the polyhedrin TAAGTATT increase transcription and increase foreign gene expression relative to the wild-type polyhedrin promoter (Rankin et al. (1988) supra). The plasmids phcLSXIV, shown schematically in Fig. 1, and pEVmodXIV, which carries the same promoter and basic multi-cloning site as phcLSXIV but lacks the CAT gene, contain a linker scan mutant promoter, currently referred to as the LSXIV promoter. Viruses derived from pEVmodXIV, or derived from phcLSXIV, provide higher levels of reporter gene (CAT) expression than other known linker scan modifications. Expression from viruses carrying the CAT reporter gene under the control of the LSXIV promoter has been shown by the inventor to be 50% higher than that from the polyhedrin promoter (i.e., compared to CAT gene

expression from recombinant viruses derived from phcwt, shown schematically in Fig. 10, which contains a wild-type polyhedrin promoter).

5           Thus, preferred embodiments of the present invention include several plasmids which contain promoters having various modifications upstream of the TAAGTATT sequences. The plasmid designated phcLSXIV, shown schematically in Fig. 1, is an example of a plasmid containing such modified promoters. Other  
10           plasmids of this nature include phcLSXVI and phcLSXVII (as shown in Table 5). These plasmids contain upstream modified promoters which causes elevated levels of foreign gene expression when incorporated into recombinant viruses.

15           As another preferred embodiment, the present invention includes plasmids containing a synthetic promoter. Synthetic promoters provide diversity in the nucleotide sequence of promoters used to drive heterologous gene expression and provide differences in the levels of foreign genes expressed.  
20           A sequence for a preferred synthetic promoter is described best by the DNA sequence shown in Table 1. The arrow in the DNA sequence shows the start point and the direction of transcription. It is to be understood that there is much flexibility possible in arranging and ordering the components  
25           of the promoter and that the sequence shown in Fig. 2 is only one example of an embodiment of the present invention.

30           An example of a transplacement plasmid of the second preferred embodiment which includes the above-referenced synthetic promoter is shown in the general construction in Fig. 2. This plasmid, designated pSynVI-, is a transplacement plasmid carrying the synthetic promoter shown in Table 1 and the multi-cloning site (MCS) termed MCS#2 herein. The MCS#2 sequence of pSynVI- is shown in Table 2. The orientation of  
35           the synthetic promoter is such that foreign gene expression will occur in the genomic orientation opposite that of the

polyhedrin gene. This promoter construct drives heterologous gene expression at approximately 10%-20% of the level of the wild-type polyhedrin promoter.

5 Another example of the second preferred embodiment of the present invention is the plasmid designated pSynVI+wtp. The schematic representation of this promoter is shown in Fig. 3. This construct is a transplacement vector containing the synthetic promoter described above and MCS#2 as in the  
10 foregoing pSynVI- but also expressing the polyhedrin gene under wild-type polyhedrin promoter control. It is an example of a plasmid which is able to express two genes simultaneously. This plasmid provides the selectable occlusion positive phenotype and, because recombinant viruses are occlusion  
15 positive, they can be used to orally infect insects. This plasmid construction drives expression of the heterologous gene at approximately 10%-20% of the natural polyhedrin promoter.

20 Another plasmid that is included as an example of the second preferred embodiment of the present invention is designated pSynwtVI- and is shown in Fig. 4. This is a transplacement plasmid containing both the polyhedrin promoter (with an MCS site, MCS#1) and a synthetic promoter (with a second MCS site, MCS#2), features which allow for the  
25 expression of two foreign genes. This transplacement plasmid is therefore an example of a two-gene expression vector. However, it is to be understood that it is not necessary to use the polyhedrin promoter because other synthetic promoters can be designed to replace the polyhedrin promoter based on  
30 principles noted for the synthetic promoter described above.

35 In a third preferred embodiment of the present invention, the plasmids contain a promoter or promoters in an orientation opposite to that of the naturally occurring promoter. The plasmids pSPLSXIVVI+CAT and pLSXIVVI+CAT (Figs. 5 and 6) are plasmids with the pLSXIV promoter in the opposite orientation



(with respect to the original polyhedrin direction). These plasmids are used to test CAT expression from the LSXIV promoter in opposite orientations. The pLSXIVVI+CAT plasmid has a tandem double promoter. CAT gene expression from the LSXIV promoter in the opposite orientation has been found by the inventor to be similar to the levels achieved in the original orientation.

It is contemplated as part of the present invention that the promoters can be positioned in different genomic positions/orientations to give elevated levels of expression. The plasmids pSPLSXIVVI+CAT (Fig. 5) and pLSXIV3VI+ (Fig. 13) are two examples of high level expression promoters in opposite orientation.

A fourth preferred embodiment of the present invention includes plasmids containing the vp39 (capsid) promoter sequence. The DNA sequence of this promoter is shown in Table 3. The transplacement plasmids which can be used for testing reporter CAT expression include phc39 and pEVmod39. The vp39 promoter provides approximately 5 to 120 fold higher levels of expression during the period of time from 12 to 24 hrs post-infection than the naturally occurring polyhedrin promoter. It is contemplated that the present invention also includes a combination of late/very late promoters such as the polyhedrin and vp39 promoters which would provide earlier gene expression and higher final levels (total levels) of heterologous proteins. One obtains higher levels of expression at 12 hrs post-infection from the late promoters because they are being expressed earlier in the infection process (i.e., they turn on at 6 hrs post-infection rather than at 18 hrs post-infection as in the case of polyhedrin). The combination late/very late promoter ideally turns on at approximately 6 hrs post-infection and continues through 70 hr post-infection thus providing both late and very late gene expression.

**Design of a synthetic promoter and a transplacement plasmid carrying the synthetic promoter**

5       The inventor has discovered that the major determinant for polyhedrin gene expression appears to be located within the sequence ATAAGTATT. Transcription of this abundantly transcribed late gene also initiates within an ATAAG sequence flanked by an A+T rich region (Wilson et al., 1987, supra). A novel baculovirus promoter has been designed which lacks  
10       minimal extended sequence homology to other viral promoters by combining short sequence motifs common to other abundantly expressed late and very late genes. Two additional features incorporated in the design of the novel promoter include: an LSXIV linker, in the context of a G+C-rich region upstream of  
15       the ATAAG and a TTTATT sequence within the untranslated region near the ATG. The TTTATT serves as a polyadenylation signal for any transcripts initiating in the opposite orientation from ATAAG sequences which occur by chance in the foreign gene insert.

20       The inventor has found that late and very late promoters contain an ATAAG at the most abundant transcriptional start points. This sequence is important for polyhedrin expression and promoter activity. In addition, linker scan mutational  
25       analysis of the polyhedrin promoter shows that nucleotides throughout the untranslated leader region appear to contribute to optimum gene expression. The sequences specifying the untranslated leader regions of four promoters of the most abundantly expressed genes of AcMNPV, namely the late gene  
30       encoding the major capsid protein (p39), the late gene encoding the basic core protein (p6.9), the very late gene encoding p10 and the polyhedrin gene have been aligned. All contain ATAAGs in the context of an A+T-rich region.

35       Several short segments found in two or more of the promoters have been chosen as component parts of the synthetic

promoter. The longest segment common to two or more promoters is nine nucleotides, TAAATTACA, found in both the p10 and p6.9 promoters. The sequence ACAAT has been found near the ATG of 3 of 4 promoters (but not polyhedrin), TACTGT was found in both the polyhedrin and p10 promoters, TTTGTA has been found in both the p10 and polyhedrin promoters, TTTGTA has been found in both the p10 and polyhedrin promoters, and TCAANTCA has been found in the p10 and the p39 promoters. In addition, all the promoters contain stretches of at least 3 T's, usually flanked by A's, and stretches of at least 3 A's, usually preceded by a pyrimidine. All these components have been designed into the model synthetic promoter. The components have been placed to minimize length; for example, the T stretches and A stretches have been placed just downstream of the ATAAG to also serve as an A+T rich region downstream of the ATTAG. However, the ACAAT sequence has been placed near the 3' restriction site (BglIII) which serves to link the promoter to a multicloning site and the TTTATT (polyadenylation signal in reverse) has been positioned flanking this sequence to encourage termination of reverse transcripts as far from the ATAAG as possible, thereby minimizing antisense RNA interference at the 5' end. The sequences of the two oligonucleotides which have been synthesized to construct the model synthetic promoter, referred to as the Syn promoter, are shown in Table 1, along with the designations of the component parts.

The transplacement plasmid pSynVI- (Fig. 2) has the Syn promoter driving expression in the opposite direction as the polyhedrin promoter. It also has a multicloning site (MCS#2) with numerous useful restriction sites. It lacks 627 nucleotides encoding the N-terminus of polyhedrin, and thus the use of this transplacement plasmid results in recombinants with occlusion negative phenotypes.

The synthetic promoter and attached multicloning site (MCS#2) can also be moved to other plasmids for transplacement

into other AcMNPV genomic locations using flanking restriction endonucleases (e.g., SacI downstream of MCS#2 and KpnI or SmaI upstream of the promoter).

5           This invention is further illustrated by the following examples, which are not to be construed in any way as imposing limitations upon the scope thereof. On the contrary, it is to be clearly understood that resort may be had to various other  
10           embodiments, modifications, and equivalents thereof which, after reading the description herein, may suggest themselves to those skilled in the art without departing from the spirit of the present invention and/or the scope of the appended claims.

15       EXAMPLES

                    EXAMPLE I

          All viruses are derived originally from AcMNPV L-1 (Lee and Miller (1978) "Isolation of genotypic variants of A. californica nuclear polyhedrosis virus," J. Virol. 27:754-767);  
20       and are plaque-purified and propagated in the Spodoptera frugiperda IPLB-SF-21 cell line (Vaughn et al. (1977) "Establishment of Two Insect Cell Lines From the Insect Spodoptera frugiperda (Lepidoptera: Noctuidae)" In Vitro  
25       13:213-217 using methods described previously (Lee and Miller (1978); Miller et al. (1986) supra; "An insect baculovirus host-vector system for high-level expression of foreign genes," in Genetic Engineering, Principles and Methods, Vol. 8 J. Setlow and A. Hollaender (eds.), Plenum Press, N.Y., pp. 277-  
30       298, 1986.

          CAT assays are performed and specific activities calculated as described previously (Carbonell et al. (1985) "Baculovirus-Mediated Expression of Bacterial Genes in Dipteran and Mammalian Cells," J. Virol. 56:153-160; Rankin et al. (1988) supra;. The CAT gene for all CAT-containing plasmids

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used in these studies was derived from pCM1CAT (LKB Biotechnology, Piscataway, N.J.) in which the CAT gene is inserted as a SalI cassette.

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### Example II

Construction of the pEVmodXIV transplacement plasmid (Fig. 9) is described. The previously described transplacement plasmid pEV55 (Miller et al. (1986) supra), which serves as the basis for constructing phcwt (Fig 7), also serves as the starting plasmid for pEVmodXIV construction. The pEV55 plasmid contains AcMNPV DNA from 3.18 to 7.3 map units (mu). A multicloning site replaces polyhedrin coding sequences from +1 to +635. The numerous restriction endonuclease sites at the junction between the pUC vector portion of pEV55 and the SalI site of AcMNPV DNA at 3.18 limit the types of restriction sites which can be utilized in the multicloning site. Therefore, the sites at this junction are removed by digesting pEV55 with SmaI, which cuts at the vector junction, and by deleting approximately 70 nucleotides at the junction by the combined action of ExoIII and mung bean nuclease. Ligation at this deletion site results in the plasmid pEVdel. The viral sequences between 6.16 mu and 7.3 mu in pEVdel are deleted by digestion with BamHI (6.16 mu) and NotI (7.3 mu) at the right vector junction. Blunt end ligation at the deleted junction resulted in pEVmod (Fig. 8). The polyhedrin promoter (from the EcoRV at -92 to the BglII at +1) of pEVmod is replaced with the small EcoRV-BglII fragment of phcLSXIV (Fig. 1) resulting in the improved and convenient transplacement plasmid pEVmodXIV. Virus vectors derived from pEVmodXIV-based transplacement plasmids provide approximately 50% higher levels of expression than virus vectors derived from pEV55 or any other known / plasmids.

35

### Example III

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Construction of the phc39 (Fig. 15) and pEVmod39 (Fig. 9) transplacement plasmids is described. A plasmid containing the vp39 promoter is first constructed as follows. The NarI to EcoRV fragment containing the vp39 promoter between 57.6 to 57.9 map units on the AcMNPV genome is cloned into the AccI and EcoRV sites of the plasmid vector Bluescript KS- (Stratagene, San Diego, CA). The resulting plasmid, pSTVNM, is cut at the XhoI and ApaI sites. The N-terminal sequences of the vp39 coding sequence are deleted with Exonuclease III and mung bean nuclease digestion. A BglII linker oligonucleotide is inserted into the gap using DNA ligase. One plasmid is selected which contains the BglII site at -2 relative to the ATG (+1, +2, +3) of vp39. To construct p39pro, the 458 bp capsid promoter region is excised from the previously selected plasmid using KpnI and EcoRV and is ligated into the plasmid Bluescript KS+ (Stratagene, San Diego, CA) which is also cut with KpnI and EcoRV. To construct the transplacement plasmid phc39, the 458 bp EcoRV to BglII fragment of p39pro containing the vp39 promoter is excised and inserted into EcoRV and BglII digested phcwt, thus replacing the polyhedrin promoter of phcwt with the vp39 promoter region. The resulting transplacement plasmid, phc39 (Fig. 15) is used to construct viral vector vhc39. CAT gene expression using this vector is 5 to 10 times higher between 12 and 24 hours post infection than CAT gene expression from virus vectors carrying the CAT gene under polyhedrin promoter control. The earlier expression of the foreign gene provides for more efficient post-translational modification of the protein product. More convenient transplacement plasmids, such as pEVmod39 (not shown), can be derived easily from phc39 by replacing the CAT gene with a MCS containing BglII and KpnI termini (e.g., MCS#1).

#### Example IV

To construct the plasmid pSynVI- (Fig. 2), which contains the Syn promoter and an adjoining multicloning site (MCS#2) in place of the polyhedrin promoter, the two Syn promoter oligonucleotides shown in Table 1 are annealed, the duplex is purified by polyacrylamide electrophoresis, and is inserted into the KpnI- and EcoRV-digested Bluescript plasmid vector pBSKS- (Stratagene, San Diego, CA), which lacks the SmaI restriction site in the multicloning site. This allows the forced cloning of the oligonucleotide into the multicloning site of the Bluescript plasmid and the regeneration of both the EcoRV and KpnI sites.

The portion of the multicloning site of this plasmid from the EcoRV to the SacI site contains, in order, EcoRV, EcoRI, PstI, BamHI, SpeI, XbaI, NotI, SacII, and SacI sites. The synthetic promoter and this multicloning site are removed from the Bluescript vector by ordered digestion with SacI, mung bean nuclease, and KpnI. The small fragment is gel purified and inserted into the large, gel-purified fragment of KpnI- and EcoRV digested pEVmod (Fig. 8). The sequence of the promoter from the SmaI site through the flanking multicloning site, referred to as MCS#2, is determined by sequencing. The sequence is shown in Table 2. The SacI site is regenerated unexpectedly and fortuitously during the cloning process; the action of mung bean nuclease downstream of the SacI site accounts for the observed junction.

A pSynVI- plasmid containing the reporter CAT gene is constructed to measure the level of gene expression of the pSynVI- plasmid by measuring the CAT gene expression of the constructed CAT-containing plasmid.

In constructing the pSynVI-CAT plasmid (Fig. 10), the CAT gene is excised from pCM1CAT with SalI, blunt-ended with mung bean nuclease, and inserted into pSynVI- cut with EcoRV. Orientation is checked using the EcoRI site in the N-terminal

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portion of the CAT gene. The corresponding viral vector, vSyn VI-CAT, provides CAT expression at levels approximately 10-20% of the levels observed for viral vectors expressing CAT under polyhedrin promoter control. Although not as high as polyhedrin promoter expression, this level of expression from a component synthetic promoter is significant. The Syn promoter and others derived by the combinatorial approach will be useful for balanced expression of two different foreign genes and/or the expression of genes encoding proteins controlling specific post-translational modifications.

#### Example V

For some applications, it is useful for a transplacement plasmid to transfer genetic information to form a recombinant virus which allows both foreign gene expression and polyhedrin gene expression. Expression of both genes results in occlusion, which permits efficient oral infection of insect larvae for inexpensive, bulk protein production. Furthermore, production in insect larvae allows expression in differentiated insect cells, including the fat body which constitutes a major secretory tissue of the insect and may provide more efficient post-translational modification than that found in available lepidopteran cell lines such as SF9 cells.

Thus, the pSynVI- (Fig. 2) is modified to produce a transplacement plasmid, pSynVI+wtp (Fig. 3), which allows simultaneous, high level expression of both polyhedrin and the foreign gene. An additional advantage of pSynVI+wtp is the ability to select recombinant viruses as occlusion positive plaques if the DNA of a polyhedrin deletion mutant (or a polyhedrin/ $\beta$ -galactosidase fusion mutant) is used in the initial co-transfections of host cells with viral DNA and transplacement plasmid.



The pSynVI+wtp transplacement plasmid is constructed by digesting a plasmid carrying the EcoRI-I fragment of the AcMNPV genome, with EcoRV and KpnI to remove the polyhedrin promoter and N-terminal polyhedrin region. The approximately 0.7kb EcoRV/KpnI fragment is gel-purified and inserted into pSynVI- which had been digested with SmaI and KpnI. Ligation eliminates the SmaI and EcoRV sites while regenerating the KpnI site found in the polyhedrin coding region. More specifically, the polyhedrin promoter and N-terminal coding sequences from EcoRV (-92) to KpnI (+635) are inserted into pSynVI- to produce the transplacement plasmid pSynVI+wtp. Polyhedrin mRNA is thus transcribed in its normal orientation under the control of its normal promoter while the foreign gene is inserted at the multicloning site under synthetic promoter control and is transcribed in the opposite direction to polyhedrin. The features of the plasmid are illustrated in Fig. 3. The sequence of the EcoRV/SmaI junction, the synthetic promoter, and the multicloning site (MCS#2) are shown in Table 1.

To test the efficacy of the transplacement vector pSynVI+wtp, the reporter CAT gene is inserted into the MCS of pSynVI+wtp (Fig. 3) at the EcoRV site resulting in the plasmid pSynVI+wtpCAT1 (Fig. 11). To construct pSynVI+CAT1, the blunt-ended CAT-containing SalI fragment of pCM-1CAT is inserted into EcoRV-digested pSynVI+wtp. The ability of the Syn promoter to drive reporter CAT gene expression at the same time as polyhedrin gene expression is tested by isolating the recombinant virus vSynVI+wtpCAT1 and comparing levels of CAT expression with the levels obtained with vSynVI-CAT. No significant difference in CAT gene expression is observed between these two virus vectors showing that simultaneous expression of the polyhedrin gene did not impair foreign gene expression. The polyhedrin gene is also being abundantly expressed since the recombinant virus vSynVI+wtpCAT1 produces high levels of occlusion bodies.

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### Example VI

Construction of transplacement plasmid pSynwt VI-(Fig. 4) is described. This plasmid has two promoters in a back-to-back relationship allowing simultaneous transplacement of two heterologous genes and concerted expression of the two genes by a single vector. The pEV55 EcoRV-KpnI fragment, containing the polyhedrin promoter and multicloning site MCS#1, was inserted into pSynVI- which had been digested with SmaI and KpnI.

A pSynwtVI- plasmid containing the reporter CAT gene is constructed to provide a measure of gene expression of pSynwtVI-. The plasmid is pSynwtVI-CAT1 (Fig. 12). To construct pSynwtVI-CAT1, the blunt ended CAT-containing SalI fragment of pCM-1CAT is inserted into EcoRV-digested pSynwtVI-. The equivalent virus vector vSynwtVI-CAT1 expresses CAT activity at 10-20% of the levels observed for vectors expressing CAT under polyhedrin promoter control.

### Example VII

Construction of the pSPLSXIVVI+CAT and pLSXIVVI+-CAT transplacement plasmids (Fig. 5 and 6) are described. To construct pSPLSXIVVI+CAT, the CAT gene and attached LSXIV promoter is removed from phcLSXIV (Fig. 1) by KpnI digestion (and blunt ended with mung bean nuclease) and EcoRV digestion, and then inserted into EcoRV-digested pSynVI+wtp. This places the LSXIV promoter in tandem with the synthetic promoter. The plasmid is then cut with EcoRV and KpnI and the large vector fragment is gel-purified. The EcoRV/KpnI fragment of AcMNPV EcoRI-I fragment containing the wild-type polyhedrin promoter and N-terminus is gel-purified and inserted into the vector fragment (EcoRV/KpnI) of pSPLSXIVVI+CAT to yield pLSXIVVI+CAT which contains the CAT gene under LSXIV promoter control and the intact polyhedrin gene under polyhedrin promoter control.

The viral vectors derived from these plasmids (vSPLSXIVVI+CAT and vLSXIVV+CAT) express equivalent or slightly higher levels of CAT than viruses expressing CAT under wild-type polyhedrin promoter control. An additional advantage of virus vectors derived from pLSXIVVI+CAT is that they produce polyhedrin at high levels.

#### Example VIII

Construction of pLSXIV3VI+ and pLSXIV2 transplacement plasmids (Fig. 13 and 14) is described. Plasmid pLSXIVVI+CAT is digested with BglII and EcoRI; the sticky ends removed with mung bean nuclease. The DNA is then cut with SacI and the large fragment is gel-purified and ligated to a multicloning site (MCS#3) having EcoRV and SacI termini to form pLSXIV3VI+ lacking the CAT gene. This plasmid is then digested with EcoRV and KpnI. The large fragment is isolated and the EcoRV/KpnI fragment of pEVmodXIV is inserted into the large fragment resulting in pLSXIV2 which has two back-to-back LSXIV promoters, each with its own MCS. The pLSXIV3VI+ plasmid allows superior levels of foreign gene expression using the powerful LSXIV promoter as well as polyhedrin gene expression. The pLSXIV2 plasmid allows superior expression of two foreign genes, each under LSXIV promoter control.

#### Example IX

Transplacement plasmid phc39 (Fig. 15) is constructed with the CAT reporter gene under the control of the vp39 promoter. Expression of CAT from vhc39 shows approximately 8 fold higher levels of CAT expression at 12 hrs post-infection than vhcwt, the vector carrying CAT under wild-type promoter control. The CAT gene of phc39 can be replaced with a heterologous gene of interest. Earlier expression during the infection process may

allow more efficient post-translational modification of the heterologous protein product.

#### Example X

5 Transplacement plasmids are constructed to allow the simultaneous transplacement of two foreign genes into the virus vector and allow the recombinant viruses to simultaneously and abundantly express both foreign genes. The transplacement  
10 plasmid pSynwtVI- (Fig. 4) is one example of such a dual gene transplacement plasmid. This plasmid is constructed by digesting pSynVI- with SmaI and KpnI and inserting the pEV55 EcoRV/KpnI fragment containing the wild-type polyhedrin promoter and MCS#1. This plasmid thus contains two different  
15 multicloning sites, each downstream from back-to-back Syn and wild-type polyhedrin promoters.

#### Example XI

20 Another example which allows even higher levels of the second gene is pLSXIV2 (Fig. 14). This plasmid provides back-to-back LSXIV promoters, each with a multicloning site (MCS#1 and #2) containing useful restriction sites for inserting two different foreign genes, each under the control of an LSXIV  
25 promoter. The efficacy of back-to-back promoters is tested using vLSXIVVI+CAT, a recombinant virus carrying the pLSXIVVI+CAT construct in which the LSXIV promoter drives CAT expression back-to-back with the polyhedrin promoter, which in turn drives polyhedrin gene expression. CAT expression by the  
30 vLSXIVVI+CAT vector was equivalent to or slightly higher than that observed with phcwt alone which expresses only CAT.

#### Example XII

35 Construction of vp39/polyhedrin promoter fusions is described. HindIII linkers are inserted into the 462 bp

promoter of vp39. The DNA sequence of vp39 is shown in Table 3 (see Rankin et al. (1988) supra, for general linker scan approach). The HindIII linkers serve to allow interface with the HindIII sites of the linker-scan-modified promoters to generate late/very late combination promoters. Combination promoters are constructed which contain the OCT-A and OCT-B regions of vp39 (e.g., from -65 to approximately -350) fused to the +1 to approximately -60 of the polyhedrin promoter of the LSXIV promoter of Rankin et al. (1988) supra. To do this, a plasmid is selected (e.g., pvp39-65) containing a HindIII linker at an appropriate site (e.g., -65). The HindIII to BglIII fragment is replaced with the HindIII to BglIII fragment of phcLSXIV. The entire BglIII to EcoRV fragment containing the combination vp39/LSXIV promoter, can then be transferred to pEV55 to construct a transplacement plasmid, pEVvp39/LSXIVCAT (Fig. 16) with the combination promoter controlling CAT expression.

#### Example XIII

Construction of the transplacement plasmid pEVvp39/LSXIV (Fig. 16) is achieved in accordance with the method described in Example XII above. The sequence of the hybrid vp39/LSXIV promoter is shown in Table 4. The sequence at the junction of the vp39/LSXIV combination promoter is marked by "N1-10 CCAAGCTTG" which indicates a HindIII site at the junction (CCAAGCTTG) and approximately 1 to 10 nucleotides (N1-10) of the vp39 at the junction.

It should be understood, of course, that the foregoing relates only to preferred embodiments of the present invention and that numerous modifications or alterations may be made therein without departing from the spirit and the scope of the invention as set forth in the appended claims.

Table 1. DNA sequence for model synthetic baculovirus promoter of pSynVI

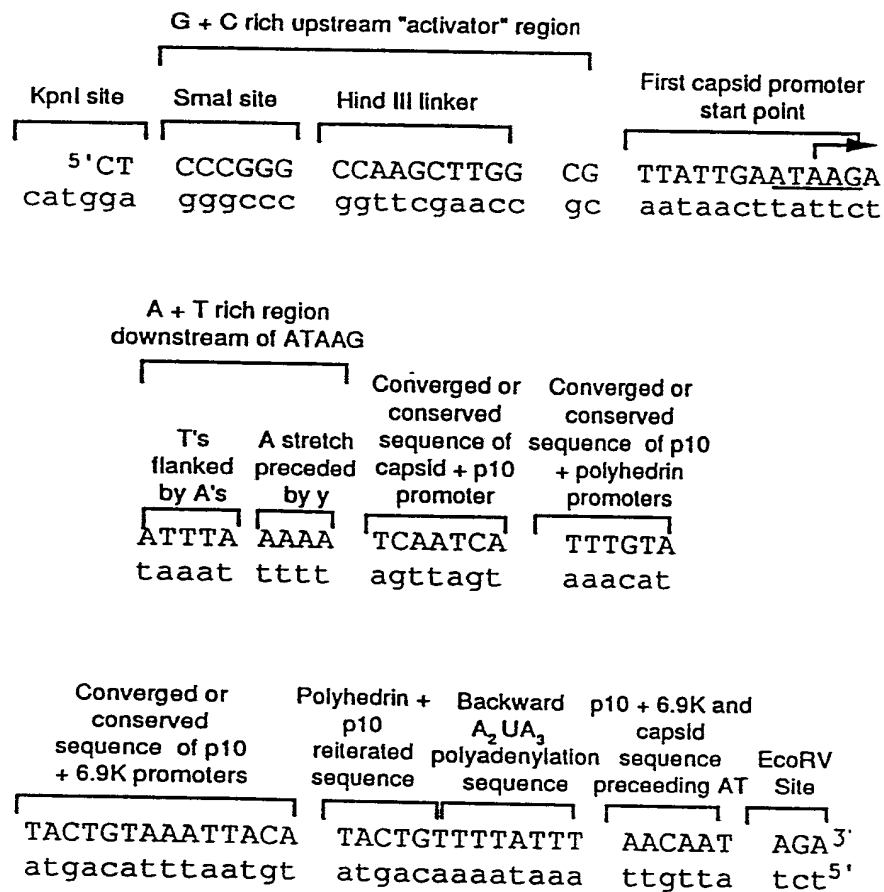


Table 2. DNA sequence including the sequence of multicloning site #2 (MCS #2)

```

5' GAT
GT CCC GGG CC AAGCTT GGCG TTATTGAATAAGA ATTTA AAAA TCAATCA TTTGTA
   EcoRV/SmaI   HindIII
                    *****
                    └───────────┐
TACTGTAAATTACA TACTGTTTTATTT AACAATA {GATATC GAATTC CTGCAG CCGGG
                                         EcoRV   EcoRI   PstI
GGATCC ACTAGT TCTAGA GCGGCCGC CA CCGCGG TG GAGCTC CA} ATC . . 3'
   BamHI  SpeI   XbaI   NotI      SacII   SacI   AcMNPV ──────────>

```

## vp39 (capsid) promoter sequence in phc39

EcoRV  
 ↓  
 G ATA TCT TGT TCG CCA TCG TGG AAT CAA ATA GAT CAA TGT CAC TTT TCG AAA AAT ATA CAT 62

GTT CAA ATT TGA TTT CAA TTT TAT TAT CGT GTT GGT AAA CGT ACA CTT TAA TTA TTT TAC TCA 112

AGT TGT GCG AAA GAG TCT TGT AAG GCA GTT TGA TTT CTT TGC TTT CTC TCC ACA CCA ACG 182

\*\*\* →

GCA CCA ACG CGT TGG TAT CTT TAG GCC AAT AAA CAA ATT TTT TGT GTT TGG AAT TAG TCT 242

Oct B

TTT TCA CGC TTG ATA TTA TGT TAT TGC AAG CGC TCT GAA TAG GTA TAC GAG TGC GAA AGC 302

Oct A

CGT TTT CGT CGT ACA AAT CGA AAT ATT GTT TGC CAG CGA ATA ATT AGG AAC AAT ATA AGA 362

\*\*\* →

ATT TAA AAT TTT ATA CAA CAA ATC TTG GCT AAA ATT TAT TGA ATA AGA GAT TTC TTT CTC 422

\*\*\* →

AAT CAC AAA ATC GCC GTA GTC CAT ATT TAT AAC GGC AAC AAT ATG  
 CAGATCT

↑  
 BglII

Table 3



## vp39/LSXIV hybrid promoter sequence

EcoRV



G ATA TCT TGT TCG CCA TCG TGG AAT CAA ATA GAT CAA TGT CAC TTT TCG AAA AAT ATA CAT  
 GTT CAA ATT TGA TTT CAA TTT TAT CGT GGT AAA CGT ACA CTT TAA TTA TTT TAC TCA  
 AGT TGT GCG AAA GAG TCT TGT AAG GCA GTT TGA TTT CTT TGC TTT CTC TCC ACA CCA ACG  
 GCA CCA ACG CGT TGG TAT CTT TAG GCC AAT AAA Oct B TTT TGT GTT TGG AAT TAG TCT  
 TTT TCA CGC TTG ATA TTA TGT TAT TGC AAG CGC TCT GAA TAG GTA TAC GAG TGC GAA AGC  
 CGT TTT CGT CGT ACA AAT CGA AAT ATT GTT TGC CAG CGA ATA ATT AGG AAC Oct A AAT ATA AGA  
 ATT TAA AAT TTT (N1-10cccaagcttg) CGC AAA TAA ATA AGT ATT TTA CTG TTT TCG TAA CAG  
 TTT TGT AAT AAA ACC TAT AAA TAG ATC  
 BglII

Table 4

Table 5. Nucleotide sequences of the wild-type and mutant polyhedrin promoter/leader region.

A

```

EcoRV
GATATCATGGAGATTAATAAATGATAACCATCTCGCAAAATAAATAAGTATTTTACTGTTTTCGTAACAGTTTGTGTAATAAAAAACCTATAAAT
-92
*
AGATCTCGACGAGATTTTCAGGAGCTAAGGAAGCTAAATAATGGAGAAAAAATCACTGGATATACCACCGTTGATATATATCCCAATGGCATCGT...
BglII

```

XhoI/SalI

B

```

-90 -80 -70 -60 -50 -40 -30 -20 -10 -1
phcwt GATATCATGGAGATTAATAAATGATAACCATCTCGCAAAATAAATAAGTATTTTACTGTTTTCGTAACAGTTTGTGTAATAAAAAACCTATAAATAG
phcLSXVI GATATCATGGAGCCCAAGCTTGGTGATAACCATCTCGCAAAATAAATAAGTATTTTACTGTTTTCGTAACAGTTTGTGTAATAAAAAACCTATAAATAG
phcLSXVII GATATCATGGAGATTAATAAACCAGCTTGGCTCGCAAAATAAATAAGTATTTTACTGTTTTCGTAACAGTTTGTGTAATAAAAAACCTATAAATAG
phcLSXIV GATATCATGGAGATTAATAAATGCAAGCTTGGCTCGCAAAATAAATAAGTATTTTACTGTTTTCGTAACAGTTTGTGTAATAAAAAACCTATAAATAG

```

(A) The nucleotide sequence of phcwt from the EcoRV site (-92) through the N-terminal region of the cat gene is presented along with the positions of the BglII site at -1 and the fusion of the XhoI (from pEV55) and SalI (from cat cartridge) sites. The start codon ATG of cat is doubly underlined. The 8 nt constituting the major determinant for polyhedrin gene expression are underlined. An asterisk indicates the start point of transcription.

(B) Nucleotide sequences of the wt and LS promoter/leader region. For the LS mutants, the linker replacement sequences are in lower-case letters. The asterisk at nt -50 indicates the start point of transcription. All nucleotides are numbered so that the last digit of the number corresponds to the given nucleotide.

## CLAIMS

1. A baculovirus vector for production of a gene product in a host cell, which vector comprises a modified baculovirus promoter and a heterologous gene, wherein said heterologous gene is under the regulatory control of the modified promoter.
2. The vector of claim 1, wherein the modified baculovirus promoter comprises a polyadenylation site opposite in orientation to the direction of transcription directed by said modified promoter, and wherein said polyadenylation site is placed 3' to the transcription start site.
3. The vector of claim 1 wherein the modified baculovirus promoter comprises a double start site.
4. The vector of claim 1, wherein the modified baculovirus promoter comprises conserved DNA sequences of baculovirus late and/or very late promoters.
5. The vector of claim 1, further comprising an upstream activating sequence comprising about 10 bp of GC-rich sequence placed about 10 to about 30 bp upstream of the transcription start site, wherein said upstream activating sequence increases expression directed by said modified promoter.

- 5 6. The vector of claim 1, wherein the modified baculovirus promoter is isolated from a plasmid selected from the group of plasmids consisting of phcLSXIV, phcLSXVII and phcLSXVI.
7. The vector of claim 1, wherein said novel promoter comprises the DNA sequence as in Table 1.
- 10 8. The vector of claim 4 wherein the modified promoter is the modified late promoter of pEVmod39.
9. The vector of claim 1 wherein the modified pSPLSXIVVI+CAT promoter is isolated from the plasmid.
- 15 10. The vector of claim 1 comprising a modified baculovirus promoter selected from the group consisting of the modified baculovirus promoters of plasmids pSynVI<sup>-</sup>, pSynVI+wt<sub>p</sub>, pSynwtVI<sup>-</sup>, pLSXIVVI<sup>+</sup>, and pLSXIV2.
- 20 11. The baculovirus vector of claim 1 for production of a plurality of gene products in a host cell, which vector comprises a plurality of modified baculovirus promoters and heterologous genes of claim 1.
- 25 12. The vector of claim 1 wherein the baculovirus expression vector is derived from a nuclear polyhedrosis virus.

13. The vector of claim 12, wherein the nuclear polyhedrosis virus is an Autographica californica virus or a variant thereof.

5

14. A method of preparing a baculovirus expression vector suitable for expression of a heterologous gene in a host cell, which method comprises inserting into a baculovirus genome a modified baculovirus promoter and a heterologous gene, which heterologous gene is under the regulatory control of said modified baculovirus promoter.

10

15. The method of claim 14, wherein said baculovirus expression vector is formed by contacting a transplacement plasmid with a baculovirus which replicates in insect cells and selecting for recombinant viruses which express a gene product encoded by said exogenous gene.

15

16. The method of claim 14, wherein said baculovirus is a nuclear polyhedrosis virus.

20

17. The method of claim 16, wherein said nuclear polyhedrosis virus is an Autographica californica virus or a variant thereof.

25

18. A modified baculovirus promoter comprising the DNA sequence in Table 1.

-44-

19. The modified baculovirus promoter contained within the plasmid pSPLSXIVVI<sup>+</sup>CAT.

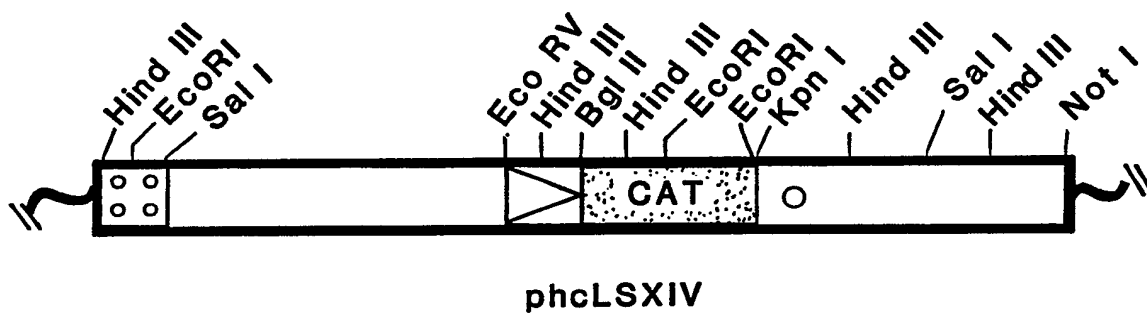


FIG. 1

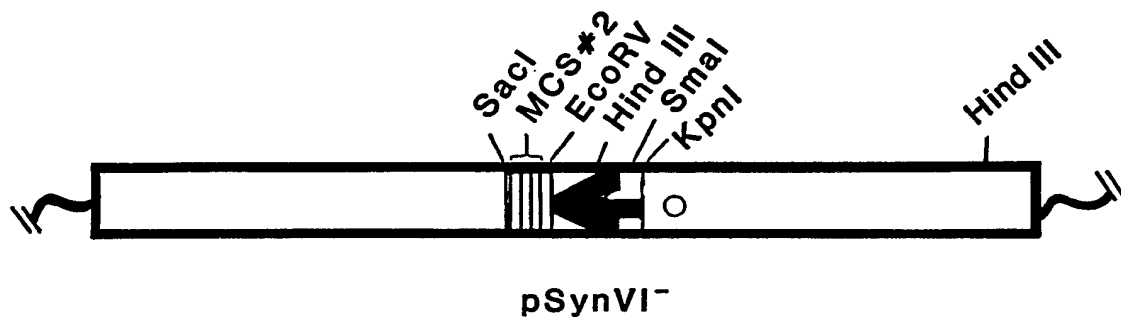


FIG. 2

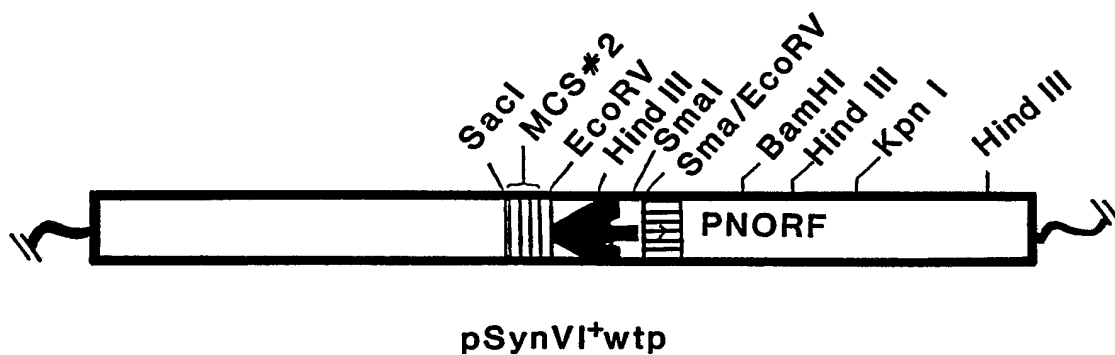


FIG. 3

2 / 6

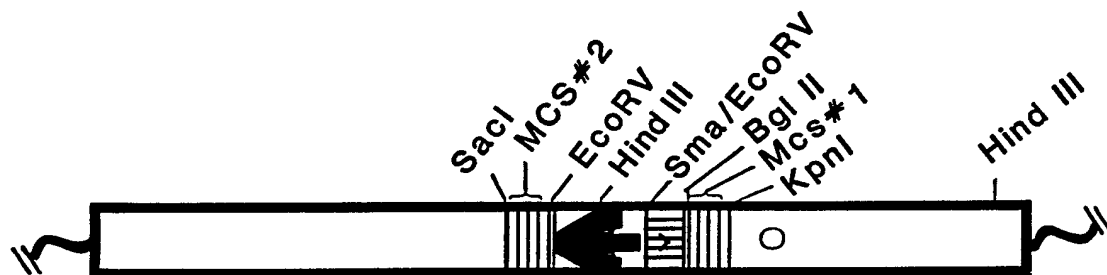
pSynwtVI<sup>-</sup>

FIG. 4

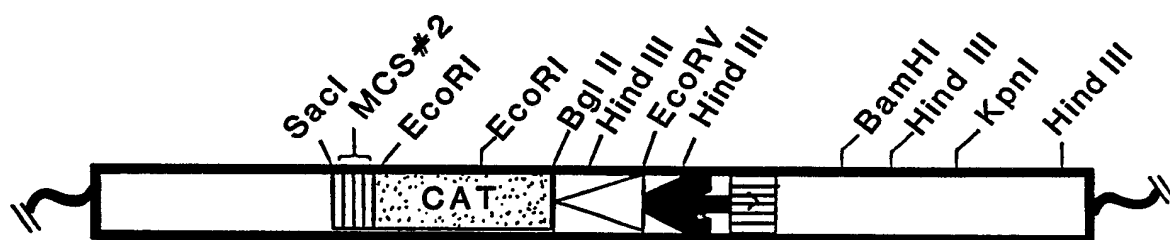
pSPLSXIVVI<sup>+</sup>CAT

FIG. 5

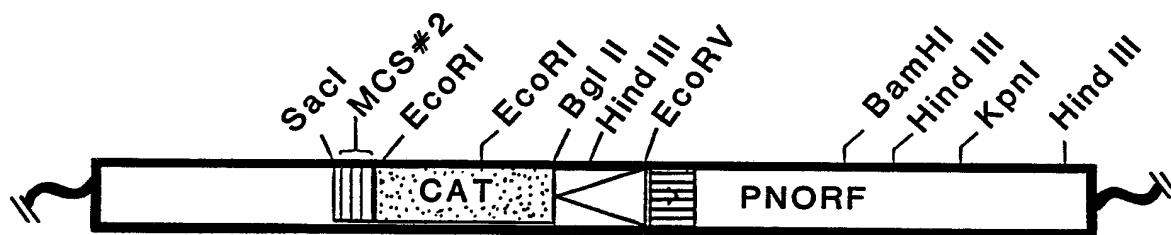
pLSXIVVI<sup>+</sup>CAT

FIG. 6



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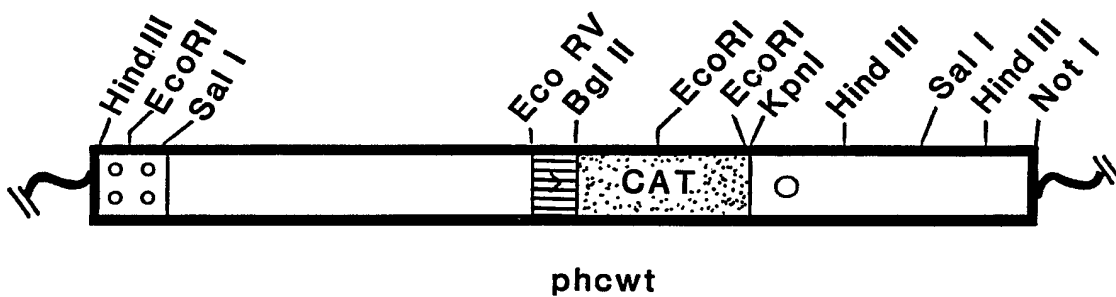


FIG. 7

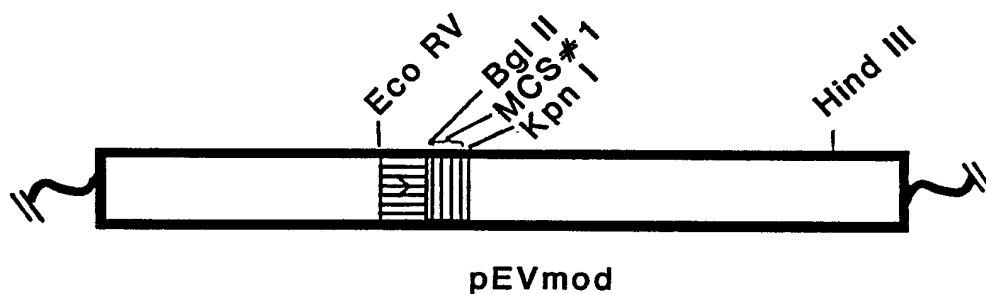


FIG. 8

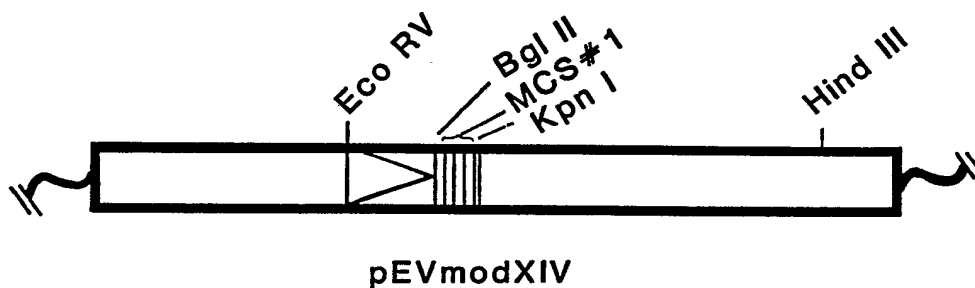


FIG. 9

SUBSTITUTE SHEET

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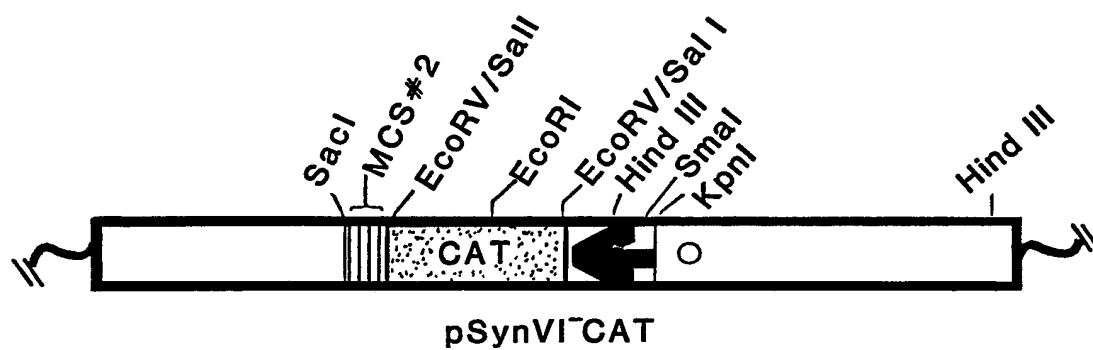


FIG. 10

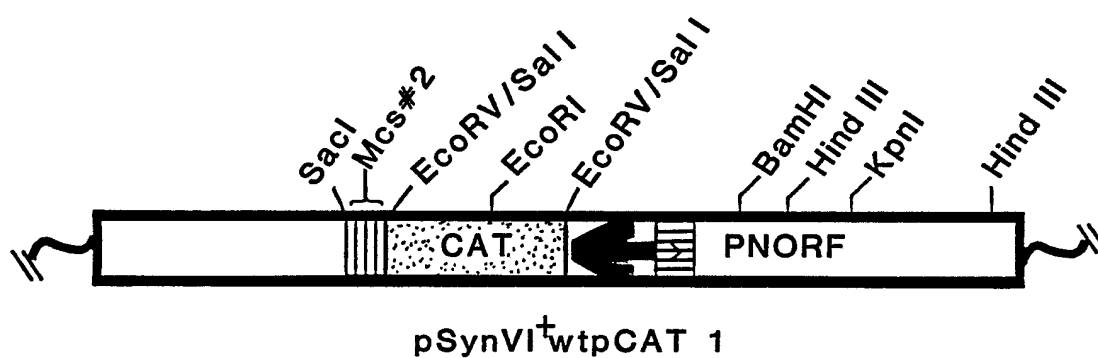


FIG. 11

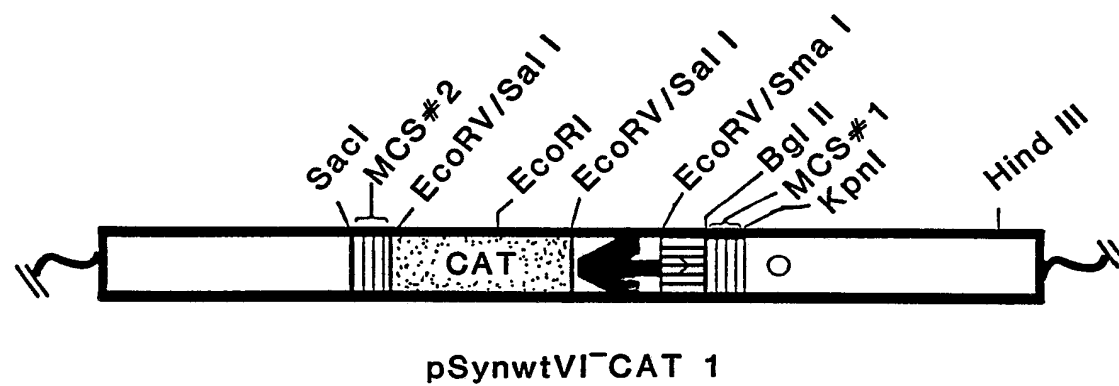


FIG. 12

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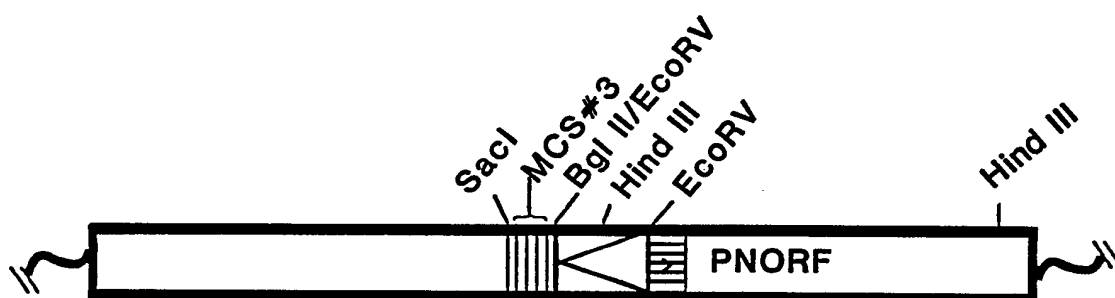
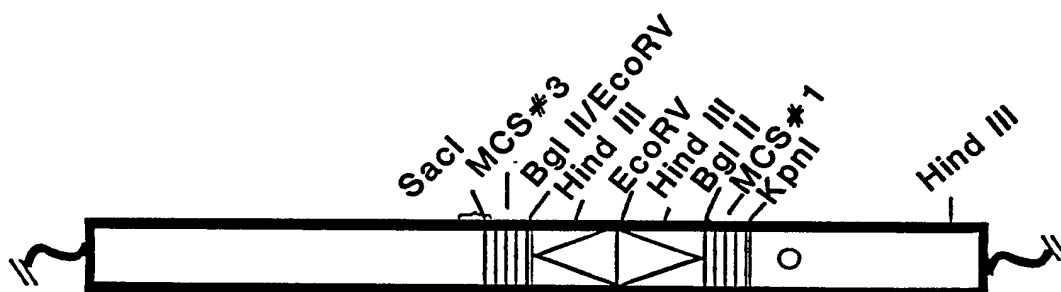
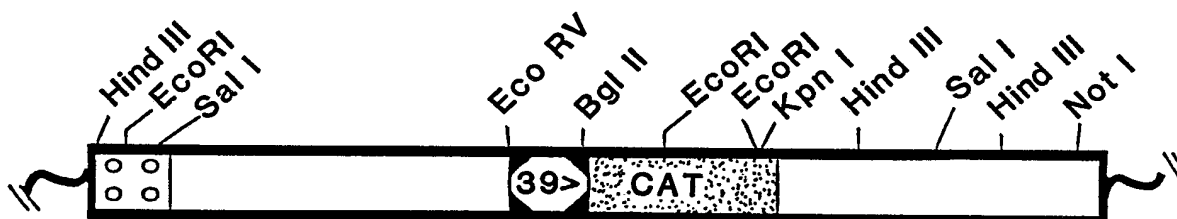
pLSXIV3VI<sup>+</sup>

FIG. 13



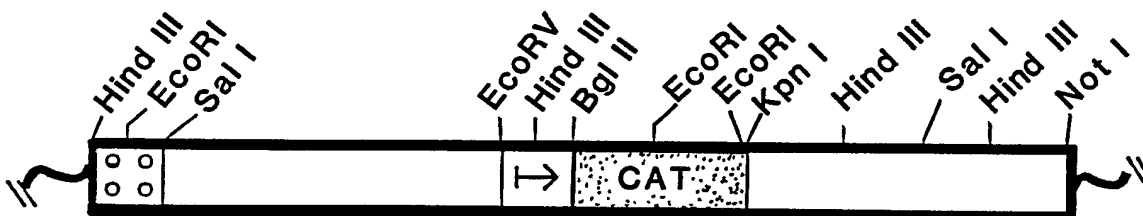
pLSXIV2

FIG. 14



phc39

FIG. 15



pEVvp39/LSXIV CAT

FIG. 16

SUBSTITUTE SHEET

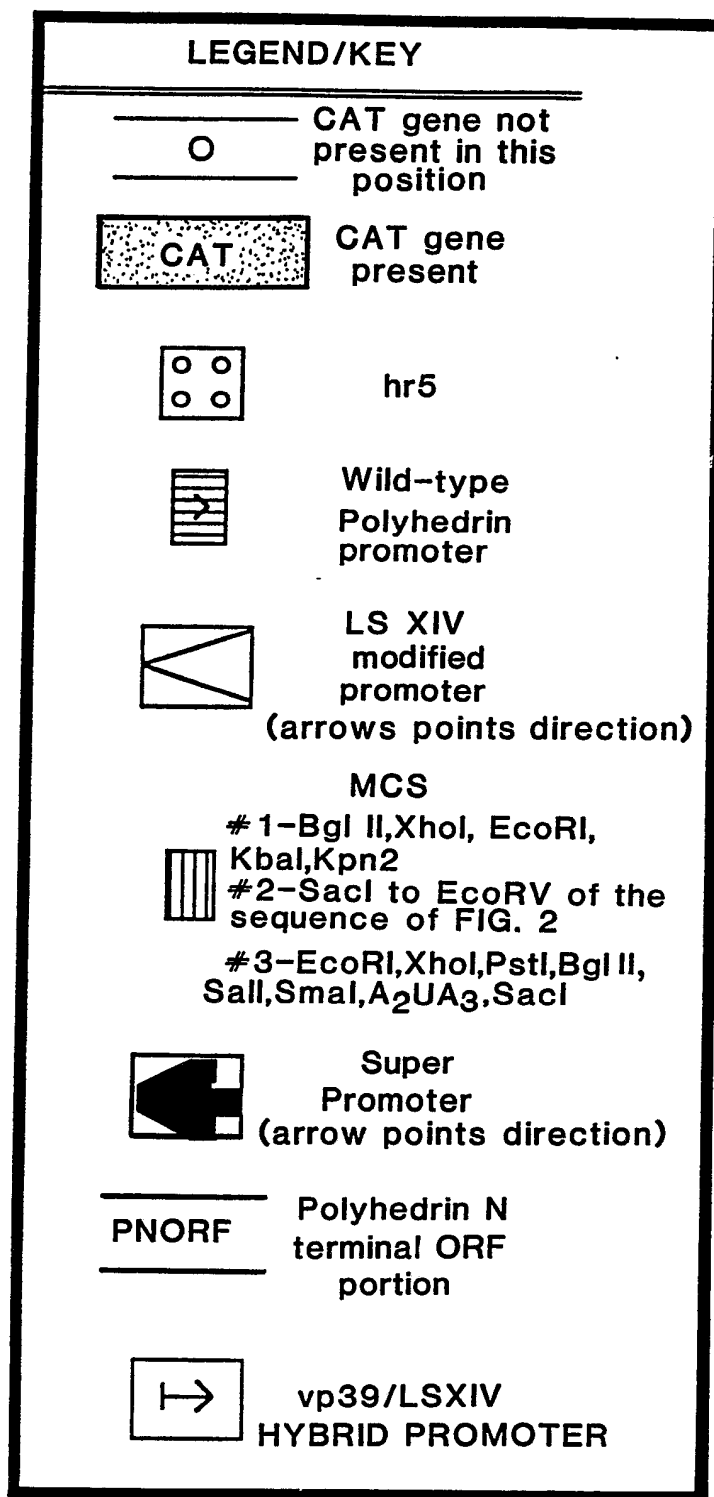
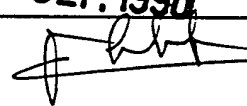


FIG. 17

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 90/02814

|  |  |                                     |
|--|--|-------------------------------------|
| <b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup>  |  |                                     |
| According to International Patent Classification (IPC) or to both National Classification and IPC <sup>7</sup>   |  |                                     |
| Int.Cl. 5                      C12N15/86   |  |                                     |
| <b>II. FIELDS SEARCHED</b>   |  |                                     |
| Minimum Documentation Searched <sup>7</sup>  |  |                                     |
| Classification System  | Classification Symbols   |                                     |
| Int.Cl. 5  | C12N   |                                     |
| Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>   |  |                                     |
| <b>III. DOCUMENTS CONSIDERED TO BE RELEVANT<sup>9</sup></b>  |  |                                     |
| Category <sup>10</sup>   | Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>   | Relevant to Claim No. <sup>13</sup> |
| X  | <p>GENE.<br/>vol. 70, no. 1, 15 October 1988, AMSTERDAM NL<br/>pages 39 - 49; RANKIN, C. et al.:<br/>"Eight base pairs encompassing the<br/>transcriptional start point are the major<br/>determinant for baculovirus polyhedrin gene<br/>expression"<br/>see the whole document<br/>(cited in the application)</p> <p style="text-align: center;">---</p>                                 | 1, 3, 4,<br>6, 12-17                |
| X  | <p>GENE.<br/>vol. 75, no. 1, 30 January 1989, AMSTERDAM NL<br/>pages 59 - 71; IATROU, K. AND MEIDINGER, R:<br/>"Bombyx mori nuclear polyhedrosis virus-based<br/>vectors for expressing passenger genes in<br/>silkworm cells under viral or cellular promoter<br/>control."<br/>see the whole document</p> <p style="text-align: center;">---</p> <p style="text-align: center;">-/--</p> | 1, 4,<br>12, 14,<br>15, 16          |
| <p><sup>10</sup> Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p> |  |                                     |
| <b>IV. CERTIFICATION</b>   |  |                                     |
| Date of the Actual Completion of the International Search  | Date of Mailing of this International Search Report  |                                     |
| 30 AUGUST 1990   | 14 SEP. 1990   |                                     |
| International Searching Authority  | Signature of Authorized Officer  |                                     |
| EUROPEAN PATENT OFFICE   | CHAMBONNET F.J.   |                                     |

| III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET) |   |                            |
|--|---|----------------------------|
| Category °   | Citation of Document, with indication, where appropriate, of the relevant passages  | Relevant to Claim No.      |
| X  | AGRICULTURAL AND BIOLOGICAL CHEMISTRY.<br>vol. 51, no. 6, June 1987, TOKYO JP<br>pages 1573 - 1580; HORIUCHI, T. et al.:<br>"High-expression of the Human-alpha-interferon<br>gene through the use of a improved Baculovirus<br>vector inthe silkworm, Bombyx mori."<br>see the whole document<br>--- | 1, 4,<br>12, 14,<br>15, 16 |
| P,X  | Journal of Molecular Biology<br>vol. 210, 1989,<br>pages 721 - 736; OOI, B. G. et al.:<br>"Downstream sequences augment transcription from<br>the essential initiation site of a baculovirus<br>polyhedrin gene."<br>see the whole document<br>---  | 1-5,<br>12-17              |